# An Empirical Exploration of Regularities in Open-Source Software Lexicons

by Derrin Pierret and Denys Poshyvanyk





### Introduction

- Lexicon: vocabulary used in a program

   identifiers, keywords, symbols, etc.
- Lexicon metrics could distinguish programs

	Ar	alysis	Statis	Findings		
Study	Text	Structure	# of Langs	# of Systs	Power Laws	
Our breadth study	yes	no	12	142	Zipf	
Zhang [1]	yes	no	Java	12	Zipf	
Concas et al. [2]	no	yes	3	3	Pareto	
Baxter et al. [3]	no	yes	Java	56	General	
Louridas et al. [5, 9]	no	yes	6	19	General	

Recent Studies on Power Laws

# Background: Zipf-Mandelbrot Law

$$f = \frac{C}{\left(r + \beta\right)^{\alpha}}$$

Where:

- f = word frequency
- r = word rank
  - (1st most common,
    - 2nd, 3rd, etc)
- $\alpha$ ,  $\beta$ , C = constant for fitting



- Research Questions

   Is equation reliable?
  - What do constants reflect?

### Goals

How well does Zipf's Law fit...

- token distributions amongst...
  - o projects
  - o languages
  - o paradigms
- word distributions amongst...
   o documentation
   bug reports
  - o bug reports

# Case Study Design

- 4 Paradigms, 3 Languages each:
  - Object Oriented: Java, C++, Smalltalk
  - Imperative: Matlab, C, PHP
  - Markup: HTML, XML, TeX
  - Functional: Haskell, Scheme, OCaml

Roughly 10 programs per language

141 programs total, 9 non-program artifacts

### Results

#### Sample of projects with gathered statistics

Project Description			Zipf-Mandelbrot's Law Fit			Project Stats				Revised soft. science equation		Sourceforge Info		
Proj Name	Lang/ Artifact	Paradigm	α	β	С	MMRE	Avg Token Length	LOC	Voc Size	Proj Size (Tokens)	Est Proj Size	Est MMRE	Devel- opers	Domain
aMule	C++	OOP	1.31	1.00	589,252.14	0.13	9.82	123,830	27,008	1,064,595	1,439,936	0.35	23	File Sharing
impresscms	PHP	Proc	1.38	3.00	476,889.87	0.11	9.25	70,782	13,451	741,400	698,712	0.06	53	Internet
liquidsoap	OCaml	Func	1.44	4.80	284,331.09	0.13	8.23	29,589	6,761	233,358	282,452	0.21	11	Multimedia

"Good Fit": Mean Magnitude of Relative Error (MMRE) < 0.25 • MRE: % of the actual value that the estimate is off by

- actual = 10,000 | estimate = 8,000
- 2000 = 10,000 \* 0.2 | MRE = 0.2
- MRE collected for each token in program
- Project MMRE is average of the token MREs

Most projects met criteria for "good fit"

# Good Fit (MMRE = 0.11)



### Bad Fit (MMRE = 0.32)



# Average MMRE Values

Object Oriented	Java	C++	<u>Smalltalk</u>
0.17	0.18	0.17	0.17
<u>Imperative</u>	Matlab	С	PHP
0.17	0.18	0.17	0.17
<u>Markup</u>	HTML	XML	TeX
0.18	0.21	0.19	0.15
<b>Functional</b>	Haskell	Scheme	OCaml
0.16	0.16	0.16	0.17
Non-Source	Documentation		Bug Reports
0.22	0.21		0.23

# **Revised Program Length Estimation**

- Halstead: Software Science equation

   Links vocabulary size with program size
- Zhang: Saw inaccuracy with Halstead on Java code

   Saw Zipf-Mandelbrot work for Java tokens
   Devised new length estimation equation from Zipf

$$N^{\wedge} = C \frac{\left(n+\beta\right)^{1-\alpha} - \left(1+\beta\right)^{1-\alpha}}{1-\alpha}$$

Where:

- N<sup>^</sup> = program length
- n = vocabulary length
- $\alpha$ ,  $\beta$ , C = Zipf constants

Estimations were only sometimes accurate
 Good estimation for 84 out of 141 projects (60%)

### Conclusions

Zipf's Law is able to describe token distributions well for:

- Project Source, Languages, Paradigms
- Documentation, Bug Reports

Program size estimation equation needs further investigation

Questions left to explore:

- Meaning behind Zipf goodness of fit or generated constants
- Where types of tokens appear in distribution

Online Appendix:

http://www.cs.wm.edu/~dpierret/zipf-appendix.html