# Chapter 5 Hashing

#### Introduction

- -hashing performs basic operations, such as insertion, deletion, and finds in <u>constant</u> average time
  - -better than other ADTs we've seen so far



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#### . . . .

- -a hash table is merely an array of some fixed size
- -hashing converts search keys into locations in a hash table
  - searching on the key becomes something like array
- -hashing is typically a many-to-one map: multiple keys are mapped to the same array index
- -mapping multiple keys to the same position results in a collision that must be resolved
- -two parts to hashing:
  - -a hash function, which transforms keys into array indices
  - -a collision resolution procedure

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#### **Hashing Functions**

- -let K be the set of search keys
- hash functions map K into the set of M slots in the hash table

$$h: K \to \{0, 1, \dots, M - 1\}$$

- -ideally, h distributes K <u>uniformly</u> over the slots of the hash table, to minimize collisions
- -if we are hashing N items, we want the number of items hashed to each location to be close to N/M
- -example: Library of Congress Classification System
  - -hash function if we look at the first part of the call numbers (e.g., E470, PN1995)
    - -collision resolution involves going to the stacks and looking through the books
  - -almost all of CS is hashed to QA75 and QA76 (BAD)

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#### Hashing Functions

- -suppose we are storing a set of nonnegative integers
- given M, we can obtain hash values between 0 and M-1 with the hash function

$$h(k) = k \% M$$

- -<u>remainder</u> when k is divided by M
- -fast operation, but we need to be careful when choosing M
- -example: if  $M = 2^p$ , h(k) is just the p lowest-order bits of k
- -are all the hash values equally likely?
- -choosing M to be a <u>prime</u> not too close to a power of 2 works well in practice

#### Hashing Functions

 we can also use the hash function below for floating point numbers if we interpret the bits as an <u>integer</u>

$$h(k) = k \% M$$

- -two ways to do this in C, assuming long int and double types have the same length
- -first method uses C pointers to accomplish this task

unsigned long \*k;
double x;
k = (unsigned long \*) &x;
long int hash = k % M;

#### **Hashing Functions**

- -we can also use the hash function below for floating point numbers if we interpret the bits as an integer (cont.)
- -second uses a <u>union</u>, which is a variable that can hold objects of different types and sizes

```
union {
 long int k;
 double x;
} u;

u.x = 3.1416;
long int hash = u.k % M;
```

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#### **Hashing Functions**

**Hashing Functions** 

parts, say, street, city, state:

-same ideas apply to hashing vectors

-we can hash strings by combining a hash of each character

```
char *s = "hello!";
unsigned long hash = 0;

for (int i = 0; i < strlen(s); i++) {
  unsigned char w = s[i];
  hash = (R * hash + w) % M;
}</pre>
```

- -R is an additional parameter we get to choose
- -if  $\it R$  is larger than any character value, then this approach is what you would obtain if you treated the string as a base- $\it R$  integer

-we can use the idea for strings if our search key has multiple

hash = ((street \* R + city) % M) \* R + state) % M;

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#### Hashing Functions

–K&R suggest a slightly simpler hash function, corresponding to  $R=31\,$ 

```
char *s;
unsigned hash;
for (hash = 0; *s != '\0'; s++) {
   hash = 31 * hash + *s;
}
hash = hash % M;
```

-Weiss suggests R = 37

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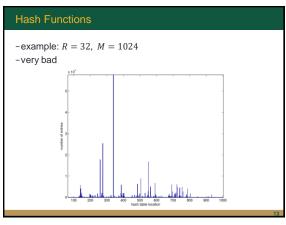
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#### **Hash Functions**

- -the choice of parameters can have a <u>dramatic</u> effect on the results of hashing
- –compare the text string's hashing algorithm for different pairs of  $\it R$  and  $\it M$ 
  - -plot <u>histograms</u> of the number of words hashed to each hash table location; we use the American dictionary from the aspell program as data (305,089 words)

Hash Functions

-example: R = 31, M = 1024
-good: words are evenly distributed



Hash Functions

- example: R = 31, M = 1000
- better

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Hash Functions -example: R = 32, M = 1000 -bad

- hash table collision
- occurs when elements hash to the same location in the table
- various strategies for dealing with collision
- separate chaining
- open addressing
- linear probing
- other methods

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-separate chaining
-keep a list of all elements that hash to the same location
-each location in the hash table is a linked list
-example: first 10 squares

-insert, search, delete in lists
-all proportional to length of linked list
-insert
-new elements can be inserted at head of list
-duplicates can increment counter
-other structures could be used instead of lists
-binary search tree
-another hash table
-linked lists good if table is large and hash function is good

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#### Separate Chaining

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- -how long are the linked lists in a hash table?
  - -<u>expected</u> value: N/M where N is the number of keys and M is the size of the table
  - -is it reasonable to assume the hash table would exhibit this behavior?
    - -load factor  $\lambda = N/M$
    - -average length of a list =  $\lambda$
  - -time to search: constant time to evaluate the hash function + time to traverse the list
    - -unsuccessful search:  $1 + \lambda$
    - -successful search:  $1 + (\lambda/2)$

#### Separate Chaining

-observations

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- -load factor more important than table size
- -general rule: make the table as large as the number of elements to be stored,  $\lambda\approx 1$
- -keep table size prime to ensure good distribution

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```
Separate Chaining
 -declaration of hash structure
                   template <typename HashedObj>
class HashTable
                        explicit HashTable( int size = 101 );
                        bool contains( const HashedObj & x ) const;
                       void makeEmpty();
bool insert( const HashedObj & x );
bool insert( HashedObj & & x );
bool remove( const HashedObj & x );
                       vector=list<HashedObj>> theLists; // The array of Lists int currentSize;
                       void rehash( );
size_t myhash( const HashedObj & x ) const;
```

```
Separate Chaining
 -routines for separate chaining
                   void makeEmpty( )
                       for( auto & thisList : theLists )
    thisList.clear( );
                   bool contains( const HashedObj & x ) const
                        auto & whichList = theLists[ myhash( x ) ]; return find( begin( whichList ), end( whichList ), x ) != end( whichList );
                   bool remove( const HashedObj & x )
                        auto & whichList = theLists[ myhash( x ) ];
auto itr = find( begin( whichList ), end( whichList ), x );
                        whichList.erase( itr );
--currentSize;
return true;
```

Separate Chaining -hash member function size\_t myhash( const HashedObj & x ) const static hash<HashedObj> hf;
return hf( x ) % theLists.size( );

```
Separate Chaining
 -routines for separate chaining
                    bool insert( const HashedObj & x )
                       auto & whichList = theLists[ myhash( x ) ]; iff find( begin( whichList ), end( whichList ), x ) != end( whichList ) ) return false; whichList push back( x );
                      // Rehash; see Section 5.5
if( **currentSize > theLists.size( ) )
  rehash( );
```

#### Open Addressing

- -linked lists incur extra costs
  - -time to allocate space for new cells
  - -effort and complexity of defining second data structure
- -a different collision strategy involves placing colliding keys in nearby empty slots
- -if a collision occurs, try successive cells until an empty one is found
- -bigger table size needed with M > N
- -load factor should be below  $\lambda=0.5$
- -three common strategies
  - -linear probing
  - -quadratic probing
  - -double hashing

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# **Linear Probing** -example: add 89, 18, 49, 58, 69 with h(k) = k % 10 and f(i) = i

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### Linear Probing -performance of linear probing (dashed) vs. more random collision resolution -adequate up to $\lambda = 0.5$ -Successful, Unsuccessful, Insertion 15.0 12.0 9.0 .10 .15 .20 .25 .30 .35 .40 .45 .50 .55 .60 .65 .70 .75 .80 .85 .90 .95

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#### Linear Probing

- -linear probing insert operation
  - -when k is hashed, if slot h(k) is open, place k there
  - -if there is a collision, then start looking for an empty slot starting with location h(k) + 1 in the hash table, and proceed linearly through h(k) + 2, ..., m - 1, 0, 1, 2, ...,h(k) - 1 wrapping around the hash table, looking for an empty slot
- -search operation is similar
- -checking whether a table entry is vacant (or is one we seek) is called a probe

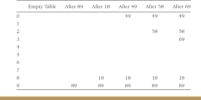
#### **Linear Probing**

- -as long as the table is not full, a vacant cell can be found
  - -but time to locate an empty cell can become large
  - -blocks of occupied cells results in primary clustering
- -deleting entries leaves holes
  - -some entries may no longer be found
  - -may require moving many other entries
- -expected number of probes
  - -for search hits:  $\sim \frac{1}{2} \left( 1 + \frac{1}{(1-\lambda)} \right)$
  - -for insertion and search misses:  $\sim \frac{1}{2} \left( 1 + \frac{1}{(1-\lambda)^2} \right)$
  - -for  $\lambda = 0.5$ , these values are 3/2 and 5/2, respectively

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#### **Quadratic Probing**

- -quadratic probing
  - -eliminates primary clustering
  - -collision function is quadratic
  - -example: add 89, 18, 49, 58, 69 with h(k) = k % 10 and  $f(i) = i^2$



#### **Quadratic Probing**

- -in linear probing, letting table get nearly <u>full</u> greatly hurts performance
- -quadratic probing
  - -no <u>quarantee</u> of finding an empty cell once the table gets larger than half full
  - -at most, <u>half</u> of the table can be used to resolve collisions
  - -if table is half empty and the table size is prime, then we are always guaranteed to accommodate a new element
  - -could end up with situation where all keys map to the same table location

## Quadratic Probing

- -quadratic probing
  - -collisions will probe the same alternative cells
  - -secondary clustering
  - -causes less than half an extra probe per search

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#### **Double Hashing**

- -double hashing
- $-f(i) = i \cdot hash_2(x)$
- apply a second hash function to  $\boldsymbol{x}$  and probe across longer distances
- -function must never evaluate to 0
- -make sure all cells can be probed

#### **Double Hashing**

- -double hashing example
  - $-hash_2(x) = R (x \bmod R) \text{ with } R = 7$
  - -R is a prime smaller than table size
  - -insert 89, 18, 49, 58, 69
    - -ex. 49: 49 % 10 = 9 (taken), so add  $hash_2 \rightarrow (7 0) \% 10$

	Empty Table	After 89	After 18	After 49	After 58	After 69
0						69
1						
2						
3					58	58
4						
5						
6				49	49	49
7						
8			18	18	18	18
9		89	89	89	89	89

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#### **Double Hashing**

- -double hashing example (cont.)
  - -note here that the size of the table (10) is not prime
- -if 23 inserted in the table, it would collide with 58
  - -since  $hash_2(23)=7-2=5$  and the table size is 10, only one alternative location, which is taken

	Empty Table	After 89	After 18	After 49	After 58	After 69
0						69
1						
2						
3					58	58
4						
5						
6				49	49	49
7						
8			18	18	18	18
9		89	89	89	89	89

Rehashing

- -table may get too full
  - -run time of operations may take too long
- -insertions may fail for quadratic resolution
  - -too many removals may be intermixed with insertions
- -solution: build a new table <u>twice as big</u> (with a new hash function)
  - -go through original hash table to compute a hash value for each (non-deleted) element
  - -insert it into the new table

Rehashing

-example: insert 13, 15, 24, 6 into a hash table of size 7 -with h(k) = k % 7



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Rehashing

- -example (cont.)
  - -insert 23
  - -table will be over 70% full; therefore, a new table is created



Rehashing

- -example (cont.)
- -new table is size 17
- -new hash function h(k) = k % 17
- -all old elements are inserted into new table

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Rehashing

- -rehashing run time O(N) since N elements and to rehash the entire table of size roughly 2N
  - -must have been N/2 insertions since last rehash
- -rehashing may run OK if in background
  - -if interactive session, rehashing operation could produce
- -rehashing can be implemented with quadratic probing
- -could rehash as soon as the table is half full
- -could rehash only when an insertion fails
- -could rehash only when a certain load factor is reached
  - -may be best, as performance degrades as load factor increases

- -hash tables so far
  - -0(1) average case for insertions, searches, and deletions
  - -separate chaining: worst case  $\Theta(\log N/\log\log N)$ 
    - -some queries will take nearly logarithmic time
  - -worst-case O(1) time would be better
    - -important for applications such as lookup tables for routers and memory caches
    - -if N is known in advance, and elements can be rearranged, worst-case O(1) time is achievable

Hash Tables with Worst-Case O(1) Access

-perfect hashing

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- -assume all N items known in advance
- -separate chaining
  - -if the number of lists continually increases, the lists will become shorter and shorter
  - -with enough lists, high probability of no collisions
  - -two problems
    - -number of lists might be unreasonably large
  - -the hashing might still be unfortunate
    - -M can be made large enough to have probability  $\frac{1}{2}$ of no collisions
    - -if collision detected, clear table and try again with a different hash function (at most done 2 times)

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#### Hash Tables with Worst-Case O(1) Access

- -perfect hashing (cont.)
  - -how large must M be?
  - -theoretically, M should be  $N^2$ , which is impractical
  - -solution: use N lists
    - -resolve collisions by using hash tables instead of linked lists
      - -each of these lists can have  $n^2$  elements
  - each secondary hash table will use a different hash function until it is collision-free
    - -can also perform similar operation for primary hash table
  - -total size of secondary hash tables is at most 2N

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# Hash Tables with Worst-Case *O*(1) Access -perfect hashing (cont.) -example: slots 1, 3, 5, 7 empty; slots 0, 4, 8 have 1 element each; slots 2, 6 have 2 elements each; slot 9 has 3 elements

#### Hash Tables with Worst-Case 0(1) Access

- -cuckoo hashing
- $-\Theta(\log N/\log\log N)$  bound known for a long time
- –researchers surprised in 1990s to learn that if one of two tables were <u>randomly</u> chosen as items were inserted, the size of the largest list would be  $\Theta(\log \log N)$ , which is significantly smaller
- -main idea: use 2 tables
- -neither more than half full
- -use a separate hash function for each
- -item will be stored in one of these two locations
- -collisions resolved by displacing elements

Hash Tables with Worst-Case O(1) Access

- -cuckoo hashing (cont.)
  - -example: 6 items; 2 tables of size 5; each table has randomly chosen hash function

Tab	le 1	Tab	le 2	A: 0, 2
0	В	0	D	B: 0, 0
1	С	1		C: 1, 4
2		2	Α	D: 1, 0
3	Е	3		E: 3, 2
4		4	F	F: 3, 4

- -A can be placed at position 0 in Table 1 or position 2 in
- -a search therefore requires at most 2 table accesses in this example
- -item deletion is trivial

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#### Hash Tables with Worst-Case O(1) Access

- -cuckoo hashing (cont.)
  - -insertion
    - -ensure item is not already in one of the tables
    - use first hash function and if first table location is empty, insert there
    - -if location in first table is occupied
      - displace element there and place current item in correct position in first table
      - -displaced element goes to its alternate hash position in the second table

Hash Tables witl	h Worst-Case 0(1) Access
-cuckoo hashing ( -insert C  Table 1 0 B 0 B 0 C 2 C 2 C 3 C 4 C 0 C 1 C 2 C 2 C 3 C 4 C 0 C 0 C 0 C 0 C 0 C 0 C 0 C 0 C 0 C 0	A: 0, 2 B: 0, 0 C: 1, 4
-insert D (displa	ice C) and E
Table 1  O B O  1 D 1  2 2 A  3 E 3  4 C	A: 0, 2 B: 0, 0 C: 1, 4 D: 1, 0 E: 3, 2
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Hash Tables with Worst-Cas	se $O(1)$ Access	· ·
-cuckoo hashing (cont.)		
-insert G		
Table 1   Table 2   B, 0, 0   B, 0   C, 1, 4   C   C   C   C   C   C   C   C   C	Table 1 0 B 1 C E E E E E E E E E E E E E E E E E E	A: 0, 2 B: 0, 0 C: 1, 4 D: 1, 0 E: 3, 2 F: 3, 4
<ul> <li>can try G's second hash va also results in a displacem</li> </ul>		ble, but it
		5.

-cuckoo hashing (cont.)
-cycles
-if table's load value < 0.5, probability of a cycle is very low
-insertions should require < 0(log N) displacements
-if a certain number of displacements is reached on an insertion, tables can be rebuilt with new hash functions

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#### -cuckoo hashing (cont.) -variations -higher number of tables (3 or 4) -place item in second hash slot immediately instead of displacing other items -allow each cell to store multiple keys -space utilization increased 1 item per cell 2 items per cell 4 items per cell 2 hash functions 0.49 0.86 0.93 3 hash functions 4 hash functions 0.97 0.99 0.999

-cuckoo hashing (cont.)
-benefits
-worst-case constant lookup and deletion times
-avoidance of lazy deletion
-potential for parallelism
-potential issues
-extremely sensitive to choice of hash functions
-time for insertion increases rapidly as load factor approaches 0.5

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#### Hash Tables with Worst-Case O(1) Access

- -hopscotch hashing
  - -improves on linear probing algorithm
    - -linear probing tries cells in sequential order, starting from hash location, which can be long due to primary and secondary clustering
    - -instead, hopscotch hashing places a bound on maximal length of the probe sequence
      - -results in worst-case constant-time lookup
      - -can be parallelized

- -hopscotch hashing (cont.)
  - -if insertion would place an element too far from its hash location, go backward and evict other elements -evicted elements cannot be placed farther than the maximal length
  - -each position in the table contains information about the current element inhabiting it, plus others that hash

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- -hopscotch hashing (cont.)
  - -example: MAX\_DIST = 4

	Item	Hop	
6	С	1000	
7	A	1100	A: 7
8	D	0010	B: 9
9	В	1000	C: 6
10	E	0000	D: 7
11	G	1000	E: 8 F: 12
12	F	1000	G: 11
13		0000	G: 11
14		0000	

- -each bit string provides 1 bit of information about the current position and the next 3 that follow
  - -1: item hashes to current location; 0: no

Hash Tables with Worst-Case O(1) Access

-hopscotch hashing (cont.)

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-e

	Item	Hop			Item	Hop			Item	Hop
6	C	1000		6	С	1000		6	С	1000
7	Α	1100		7	A	1100		7	Α	1100
8	D	0010	1	8	D	0010		8	D	0010
9	В	1000		9	В	1000		9	В	1010
10	Е	0000	_	10	E	0000	_ →	10	E	0000
11	G	1000	1	11		0010		11	Н	0010
12	F	1000	1	12	F	1000		12	F	1000
13		0000	1	13	G	0000		13	G	0000
14		0000	1	14		0000		14		0000

- -try in position 13, but too far, so try candidates for eviction (10, 11, 12)
- -E would move too far away, so evict G in 11

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- -hopscotch hashing (cont.)

	Item	Hop			Item	Hop			Item	Нор
6	C	1000		6	C	1000		6	C	1000
7	A	1100		7	A	1100		7	A	1100
8	D	0010		8	D	0010		8	D	0010
9	В	1010	1 .	9	В	1010		9	В	1010
10	Е	0000	→	10	Е	0000	→	10	E	0000
11	Н	0010	1	11	Н	0001		11	Н	0001
12	F	1000	1	12	F	1000		12		0100
13	G	0000	1	13		0000		13	F	0000
14		0000	1	14	G	0000		14	G	0000
			1							

- -position 14 too far, so try positions 11, 12, 13
- -H would move too far away, but G can move down one
- -position 13 still too far; F can move down one \*\*\*

-hopscotch hashing (cont.) -example: insert I in 6 Item Hop 0011 E: 8 F: 12 0001 0100 0000 H 0001 11 H 12 B 0001

Hash Tables with Worst-Case O(1) Access

- -position 12 still too far, so try positions 9, 10, 11
- -B can move down three
- -now slot is open for I, fourth from 6

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#### Hash Tables with Worst-Case O(1) Access

- -universal hashing
  - -in principle, we can end up with a situation where all of our keys are hashed to the <u>same location</u> in the hash table (bad)
  - -more realistically, we could choose a hash function that does not <u>evenly</u> distribute the keys
  - to avoid this, we can choose the hash function <u>randomly</u> so that it is independent of the keys being stored
  - -yields provably good performance on average

#### Hash Tables with Worst-Case 0(1) Access

- -universal hashing (cont.)
  - -let H be a finite collection of <u>hash</u> functions mapping our set of keys K to the range  $\{0,1,\ldots,M-1\}$
  - -H is a <u>universal</u> collection if for each pair of distinct keys  $k,l \in K$ , the number of hash functions  $h \in H$  for which h(k) = h(l) is at most |H|/M
  - -that is, with a randomly selected hash function  $h \in H$ , the chance of a <u>collision</u> between distinct k and l is not more than the probability (1/M) of a collision if h(k) and h(l) were chosen randomly and independently from  $\{0,1,\ldots,M-1\}$

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#### Hash Tables with Worst-Case O(1) Access

- -universal hashing (cont.)
  - -example: choose a prime p sufficiently large that every key k is in the range 0 to p-1 (inclusive)
  - -let  $A = \{0, 1, ..., p 1\}$  and  $B = \{1, ..., p 1\}$  then the family

 $h_{a,b}(k) = \left((ak+b)\ mod\ p\right) mod\ M\ \ a \in A, b\ \in B$  is a universal class of hash functions

Hash Tables with Worst-Case O(1) Access

- -extendible hashing
  - -amount of data too large to fit in memory
    - -main consideration is then the number of disk accesses
  - -assume we need to store N records and M=4 records fit in one disk block
  - -current problems
    - -if probing or separate chaining is used, collisions could cause <u>several blocks</u> to be examined during a search
    - -rehashing would be expensive in this case

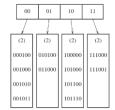
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#### Hash Tables with Worst-Case 0(1) Access

- -extendible hashing (cont.)
  - -allows search to be performed in <u>2</u> disk accesses
     -insertions require a bit more
  - -use B-tree
    - -as M increases, height of B-tree decreases
      - -could make height = 1, but multi-way branching would be extremely high

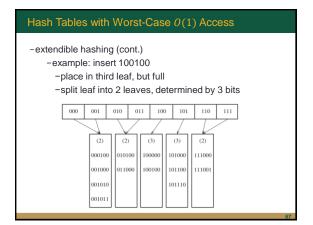
Hash Tables with Worst-Case  $\mathcal{O}(1)$  Access

- -extendible hashing (cont.)
  - -example: 6-bit integers



- -root contains 4 pointers determined by first 2 bits
- -each leaf has up to 4 elements

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-extendible hashing (cont.) -example: insert 000000 -first leaf split 000 001 100 101 110 111 (2) (3) (3) (2) (3) (3) 000000 001000 000100 001010 100100 101100 111001

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#### Hash Tables with Worst-Case $\theta(1)$ Access

- -extendible hashing (cont.)
  - -considerations
    - -several directory <u>splits</u> may be required if the elements in a leaf agree in more than D+1 leading hits
    - -number of bits to distinguish bit strings
    - -does not work well with  $\underline{\text{duplicates}}$  ( > M duplicates: does not work at all)

Hash Tables with Worst-Case O(1) Access

-final points

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- -choose hash function carefully
- -watch load factor
  - -separate chaining: close to 1
- -probe hashing: 0.5
- -hash tables have some limitations
  - -not possible to find min/max
  - –not possible to  $\underline{\text{search}}$  for a string unless the exact string is known
  - –binary search trees can do this, and  $O(\log N)$  is only slightly worse than O(1)

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#### Hash Tables with Worst-Case 0(1) Access

- -final points (cont.)
  - -hash tables good for
    - -symbol table
    - -gaming
    - -remembering locations to avoid recomputing through transposition table
    - -spell checkers

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