

# Chapter 3

## The Church-Turing Thesis

# Overview

- so far, we have presented several models of computing devices
  - finite automata for devices with a small amount of memory
  - pushdown automata for devices with unlimited memory usable in a LIFO stack
  - other simple tasks are beyond the capabilities of these models
    - too restricted to serve as models of general-purpose computers

# Turing Machines

- we now turn to more powerful model
  - Turing machine
  - proposed by Alan Turing in 1936
- Turing machine
  - similar to FA
  - but with unlimited and unrestricted memory
  - more accurate model of a general-purpose computer
    - can do everything a real computer can do
    - still certain problems that it cannot solve
      - these problems may be beyond the theoretical limits of computation

# Turing Machines

- Turing machine
  - infinite tape as unlimited memory
  - tape head can read and write symbols and move around on the tape
  - initially, tape contains input string
    - blank everywhere else
  - to store information, machine can write on tape
  - to read information, tape head can move back over it
  - machine continues computing until it produces an output
    - accept and reject by entering accept or reject states
    - otherwise, it will go on forever, never halting

# Turing Machines

- differences between FA and Turing machines
  - Turing machines can both write and read on the tape
  - the read-write head can both move left and right
  - the tape is infinite
  - states for rejecting and accepting take effect immediately

# Turing Machines

- example: Turing machine  $M_1$  for testing membership in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$ 
  - accept if input in  $B$ ; reject otherwise
  - as before, put yourself in place of the Turing machine
    - imagine standing on input with millions of characters
    - goal is to determine if input is in  $B$ 
      - i.e., two identical strings separated by  $\#$
    - string too long to remember, but you can move back and forth on input and mark on it
    - strategy: zig-zag on corresponding places of two sides of  $\#$  and determine if they match
      - mark tape to keep track of correspondences

# Turing Machines

- example: Turing machine  $M_1$  for testing membership in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$  (cont.)
  - will work this way
    - $M_1$  makes multiple passes over input
    - on each pass, matches characters on each side of  $\#$
    - crosses off each symbol as it is examined
    - if all symbols crossed off  $\rightarrow$  match
      - goes into accept state
    - mismatch
      - goes into reject state

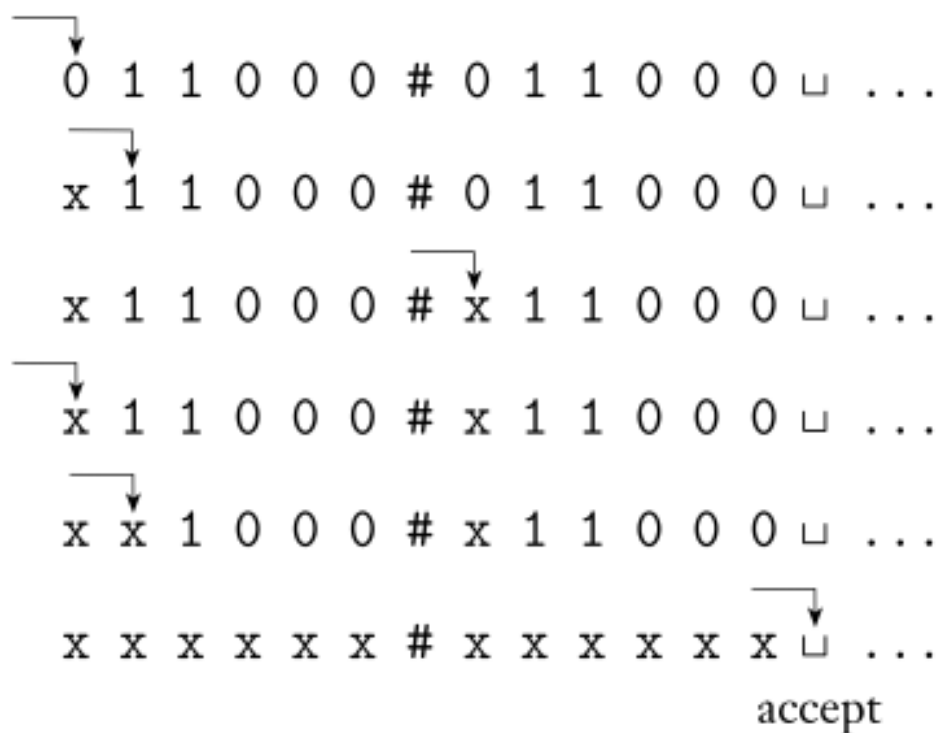
# Turing Machines

- example: Turing machine  $M_1$  for testing membership in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$  (cont.)
  - algorithm
    - zig-zag across tape to corresponding positions on either side of  $\#$ 
      - check whether these positions contain the same symbol
        - if they do not, or no  $\#$  is found  $\rightarrow$  reject
      - cross off symbols as they are checked to keep track of which symbols correspond
    - when all symbols to the left of the  $\#$  have been crossed off, check for remaining symbols on the right
      - if any symbols remain  $\rightarrow$  reject
      - otherwise  $\rightarrow$  accept



# Turing Machines

- example: Turing machine  $M_1$  for testing membership in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$  (cont.)
- nonconsecutive snapshots of tape with input 011000#011000



# Turing Machines

- previous example leaves out some details
- formal definition is a 7-tuple
  - transition function  $\delta$  tells us how machine gets from one step to the next
    - $Q \times \Gamma \rightarrow Q \times \Gamma \times \{L,R\}$
    - when machine is in a certain state  $q$  and the head is over a tape square containing symbol  $a$
    - if  $\delta(q,a) = (r,b,L)$ , writes symbol  $b$  replacing  $a$ , goes to state  $r$ , move Left

# Turing Machines

A *Turing machine* is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet not containing the *blank symbol*  $\sqcup$ ,
3.  $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
4.  $\delta: Q \times \Gamma \longrightarrow Q \times \Gamma \times \{L, R\}$  is the transition function,
5.  $q_0 \in Q$  is the start state,
6.  $q_{\text{accept}} \in Q$  is the accept state, and
7.  $q_{\text{reject}} \in Q$  is the reject state, where  $q_{\text{reject}} \neq q_{\text{accept}}$ .

# Turing Machines

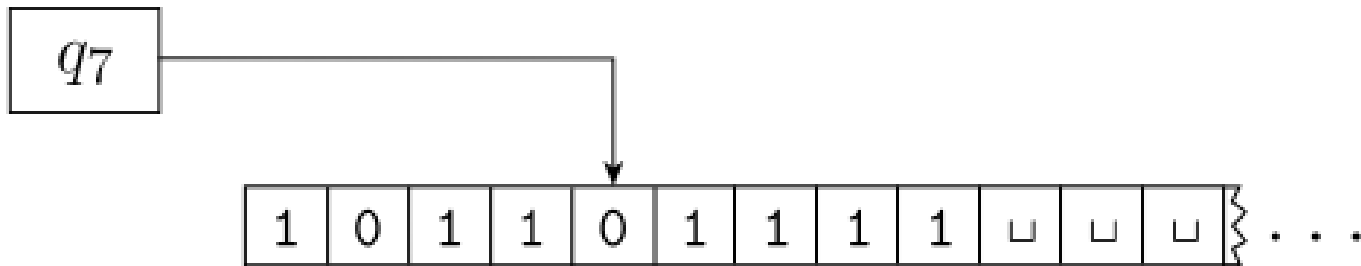
- a Turing machine  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$  computes as follows
  - input  $w = w_1w_2\dots w_n \in \Sigma^*$  on leftmost  $n$  squares of tape
  - the rest of the tape is all blank symbols
  - head starts at leftmost square
  - $\Sigma$  does not contain blank, so first blank appearing on tape marks the end of the input
  - $M$  goes from state to state according to the rules of  $\delta$ 
    - if  $M$  tries to move its head left off left-hand end of tape, the head stays in the same place for that move
  - computation continues until either accept or reject state is entered
    - if neither occurs,  $M$  goes on forever

# Turing Machines

- as a Turing machine computes, changes occur in the
  - current state
  - current tape contents
  - current head location
- a setting of these three items is called a configuration of the Turing machine
  - for state  $q$  and two strings  $u$  and  $v$  over the tape alphabet  $\Gamma$ 
    - $u q v$  is the configuration where the current state is  $q$ , tape contents is  $uv$ , and head location is at first symbol of  $v$
    - only blanks after last symbol of  $v$

# Turing Machines

- example:  $1011q_701111$ 
  - tape is 101101111
  - current state is  $q_7$
  - head is currently on second 0



# Turing Machines

- configuration  $C_1$  yields  $C_2$  if the TM can go from  $C_1$  to  $C_2$  in a single step
- formal description
  - suppose we have  $a, b, c \in \Gamma$ ,  $u, v \in \Gamma^*$ , and states  $q_i$  and  $q_j$
  - $ua q_i bv$  and  $u q_j acv$  are two configurations (moving L)
    - therefore,  $ua q_i bv$  yields  $u q_j acv$  if  $\delta(q_i, b) = (q_j, c, L)$
  - $ua q_i bv$  and  $uac q_j v$  are two configurations (moving R)
    - therefore,  $ua q_i bv$  yields  $uac q_j v$  if  $\delta(q_i, b) = (q_j, c, R)$

# Turing Machines

- special cases occur when the head is at one of the ends of the configuration
  - for left-hand end, the configuration  $q_i bv$  yields  $q_j cv$  if moving to left
    - we're preventing the machine from going off left-hand end of tape
  - for right-hand end, the configuration  $ua q_i$  is equivalent to  $ua q_i \_$  since blanks follow the right-most character



# Turing Machines

- start configuration is  $q_0w$ 
  - machine is in start state  $q_0$
  - head at leftmost position on the tape
- accepting configuration is  $q_{\text{accept}}$
- rejecting configuration is  $q_{\text{reject}}$
- accepting and rejecting configurations are halting configurations
  - do not yield further configurations

# Turing Machines

- a Turing machine accepts input  $w$  if a sequence of configurations  $C_1, C_2, \dots, C_k$  exists where
  - $C_1$  is the start configuration  $M$  on input  $w$
  - each  $C_i$  yields  $C_{i+1}$
  - $C_k$  is the accepting configuration
- the collection of strings  $M$  accepts is the language of  $M$ 
  - or the language recognized by  $M$ , or  $L(M)$
- a language is Turing-recognizable if some Turing machine recognizes it

# Turing Machines

- when we start a Turing machine on an input, three outcomes are possible
  - accept
  - reject
  - loop (does not halt)
- a TM can fail to accept an input by entering the  $q_{\text{reject}}$  state and rejecting, or by looping
  - sometimes difficult to distinguish machine looping vs. just taking a long time
- prefer TMs that halt on all inputs (never loop)
  - such machines are called deciders
  - always make a decision to accept or reject

# Turing Machines

- a decider that recognizes some language is also said to decide that language
  - call a language Turing-decidable, or simply decidable, if some Turing machine decides it

# Examples of Turing Machines

- we could formally describe a TM with its 7-tuple
  - however, lots of information
  - alternatively, we will only give higher level descriptions
    - really just shorthand for formal counterpart

# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2)

$M_2$  = "on input string  $w$ :

1. sweep left to right across the tape, crossing off every other 0
2. if in stage 1, the tape contained a single 0  $\rightarrow$  accept
3. if in stage 1, the tape contained more than a single 0 and the number of 0s was odd  $\rightarrow$  reject
4. return the head to the left-hand end of the tape
5. go to stage 1."

# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2), cont.
  - each iteration of stage 1 cuts the number of 0s in half
  - machine keeps track of whether the number of 0s seen is even or odd
    - if odd and  $> 1$ , original number of 0s is not a power of 2
      - input is rejected
    - if number of 0s seen is 1, original number of 0s must be a power of 2
      - input is accepted

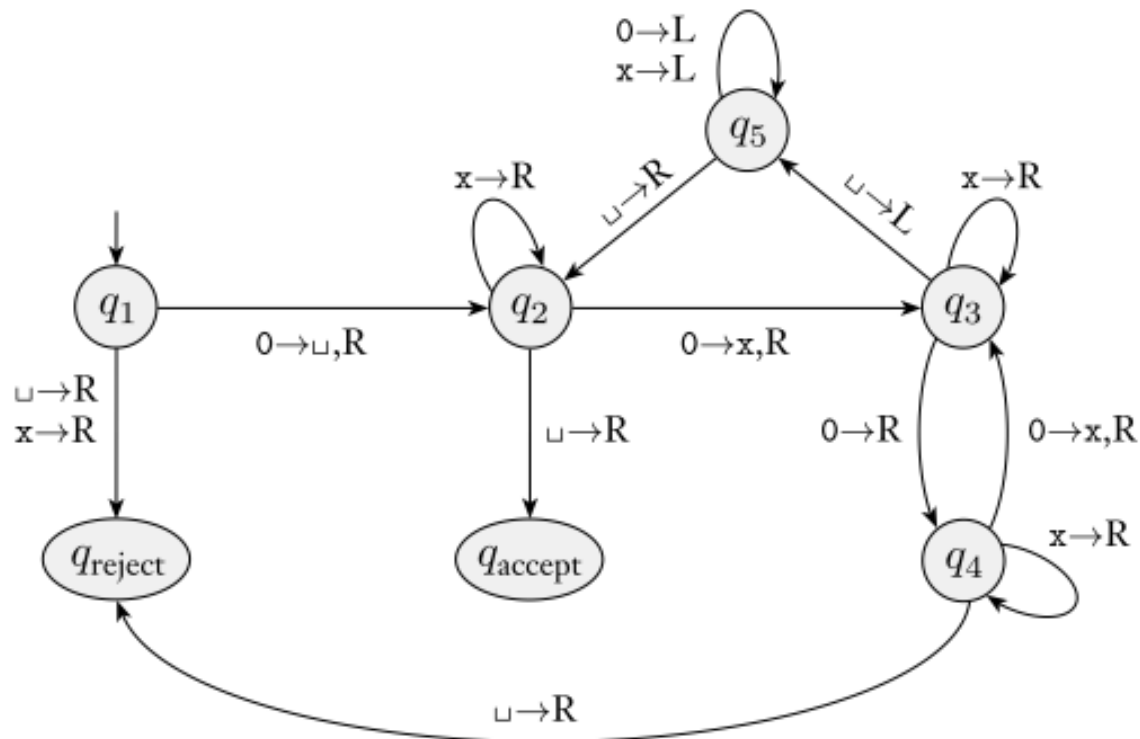
# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2), cont.
- formal description of  $M_2 = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$ 
  - $Q = \{q_1, q_2, q_3, q_4, q_5, q_{\text{accept}}, q_{\text{reject}}\}$
  - $\Sigma = \{0\}$
  - $\Gamma = \{0, x, \_ \}$
  - $\delta$  described with state diagram (next slide)
  - start state =  $q_1$
  - accept state =  $q_{\text{accept}}$
  - reject state =  $q_{\text{reject}}$



# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2), cont.
  - state diagram



# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2), cont.
  - state diagram explanation
    - $0 \rightarrow \_, R$  appears on arc from  $q_1$  to  $q_2$ 
      - when in state  $q_1$  with the head reading 0, go to  $q_2$ , write  $\_$ , and move the head right
      - $\delta(q_1, 0) = (q_2, \_, R)$
  - machine begins by writing a blank over leftmost 0 on tape so that it can find the left-hand end in stage 4
    - could have used another symbol (like #), but we like to keep the tape alphabet small

# Examples of Turing Machines

- example: describe a TM  $M_2$  that describes  $A = \{0^{2^n} \mid n \geq 0\}$  (or the language consisting of all strings of 0s whose length is a power of 2), cont.
  - sample run on input 0000

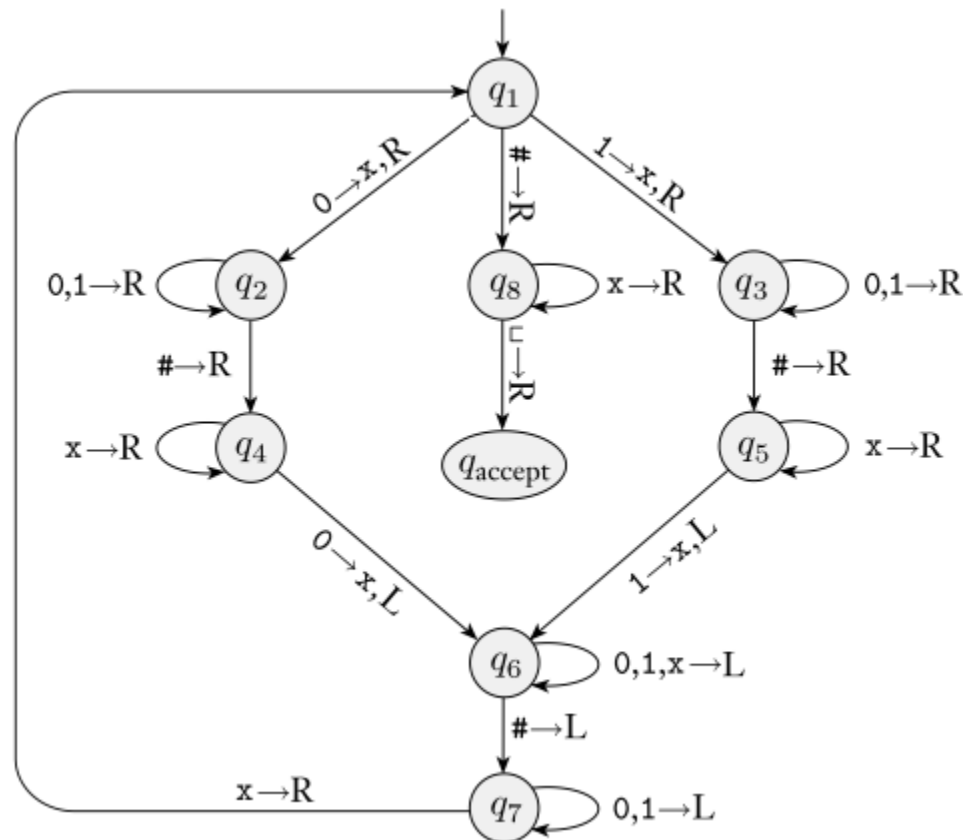
$q_1 0000$	$\sqcup q_5 x 0 x \sqcup$	$\sqcup x q_5 x x \sqcup$
$\sqcup q_2 000$	$q_5 \sqcup x 0 x \sqcup$	$\sqcup q_5 x x x \sqcup$
$\sqcup x q_3 00$	$\sqcup q_2 x 0 x \sqcup$	$q_5 \sqcup x x x \sqcup$
$\sqcup x 0 q_4 0$	$\sqcup x q_2 0 x \sqcup$	$\sqcup q_2 x x x \sqcup$
$\sqcup x 0 x q_3 \sqcup$	$\sqcup x x q_3 x \sqcup$	$\sqcup x q_2 x x \sqcup$
$\sqcup x 0 q_5 x \sqcup$	$\sqcup x x x q_3 \sqcup$	$\sqcup x x q_2 x \sqcup$
$\sqcup x q_5 0 x \sqcup$	$\sqcup x x q_5 x \sqcup$	$\sqcup x x x q_2 \sqcup$
		$\sqcup x x x \sqcup q_{\text{accept}}$

# Examples of Turing Machines

- example: describe a Turing machine  $M_1$  that describes  $B = \{w\#w \mid w \in \{0,1\}^*\}$
- $M_1 = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$  where
  - $Q = \{q_1, \dots, q_8, q_{\text{accept}}, q_{\text{reject}}\}$
  - $\Sigma = \{0, 1, \#\}$
  - $\Gamma = \{0, 1, \#, x, \_ \}$
  - $\delta$  described with state diagram (next slide)
  - start state =  $q_1$
  - accept state =  $q_{\text{accept}}$
  - reject state =  $q_{\text{reject}}$

# Examples of Turing Machines

- example: describe a Turing machine  $M_1$  that describes  $B = \{w\#w \mid w \in \{0,1\}^*\}$ , cont.
- state diagram



# Examples of Turing Machines

- example: describe a Turing machine  $M_1$  that describes  $B = \{w\#w \mid w \in \{0,1\}^*\}$ , cont.
  - state diagram explanation
    - label  $0,1 \rightarrow R$  on arc from  $q_3$  to itself
      - stay in  $q_3$  and move to right when reading a 0 or 1 in state  $q_3$
      - do not change symbol on tape
    - stage 1 implemented by  $q_1$  through  $q_7$
    - stage 2 by the remaining states
    - for clarity, reject state not shown
      - implicit rejection when no arc leaves state on symbol
        - e.g., no arc for  $\#$  from  $q_5$
        - in all such cases, head moves right on arc to  $q_{\text{reject}}$

# Examples of Turing Machines

- example: design a Turing machine  $M_3$  that performs elementary arithmetic:  $C = \{a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \geq 1\}$

$M_3$  = "on input string  $w$ :

1. scan input from left to right to determine if it is a member of  $a^+ b^+ c^+$  and reject if it isn't
2. return head to left-hand end of tape
3. cross off an  $a$  and scan right until  $b$  occurs
  - shuttle between  $b$ 's and  $c$ 's, crossing off one of each until all  $b$ 's are gone
  - if all  $c$ 's crossed off, but some  $b$ 's remain  $\rightarrow$  reject
4. restore crossed off  $b$ 's
  - repeat stage 3 if another  $a$  to cross off
  - if all  $a$ 's crossed off and all  $c$ 's crossed off  $\rightarrow$  accept
  - otherwise  $\rightarrow$  reject."

# Examples of Turing Machines

- example: design a Turing machine  $M_3$  that performs elementary arithmetic:  $C = \{a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \geq 1\}$ , cont.
  - closer look at stages of  $M_3$ 
    - in stage 1, machine operates like a FA
      - no writing necessary as head moves left to right
      - keeps track by using states to determine if input in proper form
    - in stage 2, how does machine find left side of input?
      - finding right end is easy since it is terminated with blank
      - no terminating symbol on left end
      - can mark the left end with a blank when machine starts
      - alternatively, recall that if the machine tries to move left beyond the left end of the tape, it stays in the same place
        - to make a left end detector, we can write a special symbol at the current position while recording the symbol it replaced
        - it can then try to move left
        - if it is still over the special symbol, it must be on the left end
        - otherwise, there are other symbols, and the original symbol is restored
  - stages 3 and 4 are straightforward



# Examples of Turing Machines

- example: describe a Turing machine  $M_4$  that solves the element distinctive problem: given a list of strings over  $\{0,1\}$  separated by  $\#$ s, accept if all strings are different

$$E = \{\#x_1\#x_2\#\dots\#x_l \mid \text{each } x_i \in \{0,1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

- works by comparing  $x_1$  with  $x_2$  through  $x_l$
- then comparing  $x_2$  with  $x_3$  through  $x_l$
- etc.

# Examples of Turing Machines

- example: describe a Turing machine  $M_4$  that solves the element distinctive problem: given a list of strings over  $\{0,1\}$  separated by  $\#$ s, accept if all strings are different, cont.

$$E = \{\#x_1\#x_2\#\dots\#x_l \mid \text{each } x_i \in \{0,1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

$M_4$  = "on input  $w$ :

1. place mark on top of leftmost symbol
  - if symbol was a blank  $\rightarrow$  accept
  - if symbol was  $\#$ , continue to next stage
  - otherwise  $\rightarrow$  reject
2. scan right to next  $\#$  and place second mark on it
  - if no  $\#$  encountered before blank, only  $x_1$  was present  $\rightarrow$  accept
3. zig-zag and compare two strings to right of marked  $\#$ s
  - if they are equal  $\rightarrow$  reject
4. move rightmost of two marks to next  $\#$  symbol to the right
  - if no  $\#$  encountered before blank, move leftmost mark to next  $\#$  to its right and rightmost mark to the  $\#$  after that (reset first string)
  - if no  $\#$  is available for rightmost mark, all strings have been compared  $\rightarrow$  accept
5. go to stage 3."

# Examples of Turing Machines

- example: describe a Turing machine  $M_4$  that solves the element distinctive problem: given a list of strings over  $\{0,1\}$  separated by  $\#$ s, accept if all strings are different, cont.

$$E = \{\#x_1\#x_2\#\dots\#x_l \mid \text{each } x_i \in \{0,1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

- this machine illustrates the technique of marking tape symbols
  - in stage 2, the machine places a mark above the symbol  $\#$
  - in the implementation, there are two different symbols:  $\#$  and  $\overset{\cdot}{\#}$
- whenever the machine makes a mark above a symbol, it means the second one
- removing the mark means the machine writes the first one
- used in a variety of situations - just include both in the alphabet

# Examples of Turing Machines

- from the previous examples, the languages  $A$ ,  $B$ ,  $C$ , and  $E$  are decidable
- all decidable languages are Turing-recognizable, so these languages are also Turing-recognizable

# Variants of Turing Machines

- different versions of Turing machines abound
  - multitape Turing machines
  - nondeterministic Turing machines
  - enumerators
- all variants have same power as original
  - recognize the same class of languages
  - equivalent to original
  - robustness

# Variants of Turing Machines

- to show robustness, vary transition function
  - instead of moving L or R, the head may stay put (S)
  - new transition function
    - $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L,R,S\}$
  - would this change allow additional languages to be recognized, thus increasing the power of Turing machines?
    - no, since we could convert S to two transitions
      - one that moves R
      - another that moves back L
- in general, to show variants are equivalent, we show how one can simulate another

# Variants of Turing Machines

- multitape Turing machines
  - like regular Turing machine, but with several tapes
    - each tape has its own head for reading and writing
    - input appears on tape 1
    - other tapes start out blank
  - transition function changed to reading/writing/moving heads on multiple tapes simultaneously
    - $\delta: Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L,R,S\}^k$
- the expression
$$\delta(q_i, a_1, \dots, a_k) = (q_j, b_1, \dots, b_k, L, R, \dots, L) \quad \text{means}$$
  - machine moves from  $q_i$  to  $q_j$
  - heads 1 through  $k$  are, respectively,
    - reading symbols  $a_1$  through  $a_k$
    - writing symbols  $b_1$  through  $b_k$
    - moving  $L$ ,  $R$ , or  $S$

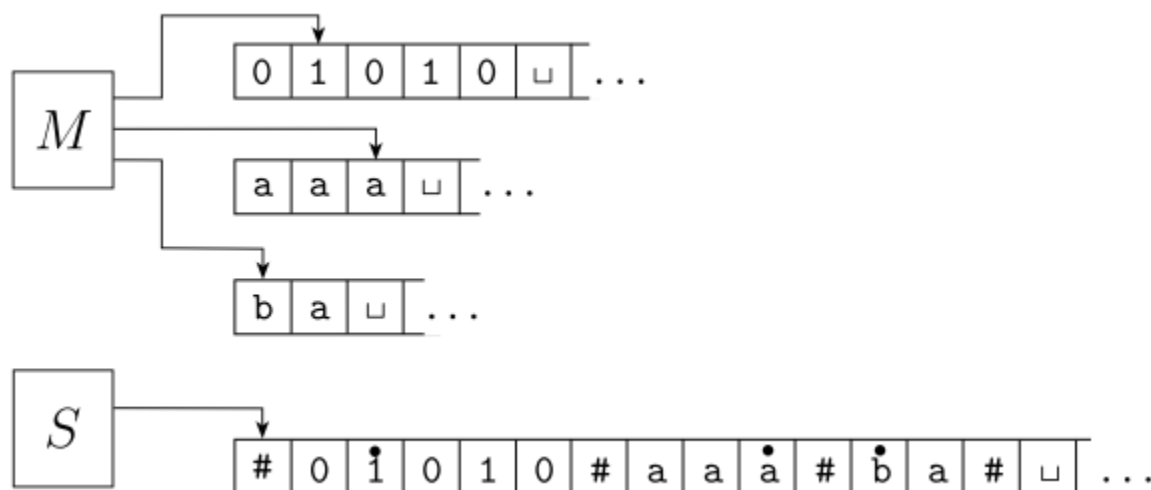
# Variants of Turing Machines

- multitape Turing machines may seem more powerful, but we can prove they are equivalent to single-tape Turing machines by showing they recognize the same language
- proof: Show how to convert a multitape TM  $M$  to an equivalent single-tape TM  $S$ 
  - simulate  $M$  with  $S$ 
    - assume  $M$  has  $k$  tapes
    - $S$  will simulate  $M$  by storing all information on one tape
      - uses  $\#$  as a delimiter to separate contents of different tapes
      - keeps track of locations of different heads by placing a dot over the symbol where the head is currently located
      - $\#$  and dotted symbols are added to the tape alphabet  $\Gamma$



# Variants of Turing Machines

- proof: Show how to convert a multitape TM  $M$  to an equivalent single-tape TM  $S$  (cont.)
  - simulating  $M$  with  $S$



# Variants of Turing Machines

- proof: Show how to convert a multitape TM  $M$  to an equivalent single-tape TM  $S$  (cont.)

$S$  = "on input  $w = w_1 \dots w_n$

1.  $S$  puts its tape into the format to represent  $k$  tapes

$\# \overset{\bullet}{w_1} w_2 \dots w_n \# \overset{\bullet}{\square} \# \overset{\bullet}{\square} \# \dots \#.$

2. to simulate a single move,  $S$  scans from first  $\#$  to last  $\#$  to determine symbols under virtual heads
  - $S$  makes a second pass to update tapes according to  $M$ 's transition function
3. if  $S$  moves a virtual head  $R$  and hits  $\#$ 
  - $S$  writes a blank on this cell
  - shifts tape contents one unit right
  - continues with simulation."

# Variants of Turing Machines

- a language is Turing-recognizable if and only if some multitape Turing machine recognizes it
  - proof:
    - a TRL must be recognized by an ordinary (single-tape) TM, which is a special case of a multitape TM
    - this proves one direction
    - other direction proven by previous proof

# Variants of Turing Machines

- nondeterministic Turing machines
  - at any point in the computation, the machine may proceed
  - transition function has the form
    - $\delta: Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L,R\})$
  - the computation of a nondeterministic Turing machine is a tree whose branches correspond to different possibilities for the machine
    - if some branch leads to the accept state  $\rightarrow$  accept

# Variants of Turing Machines

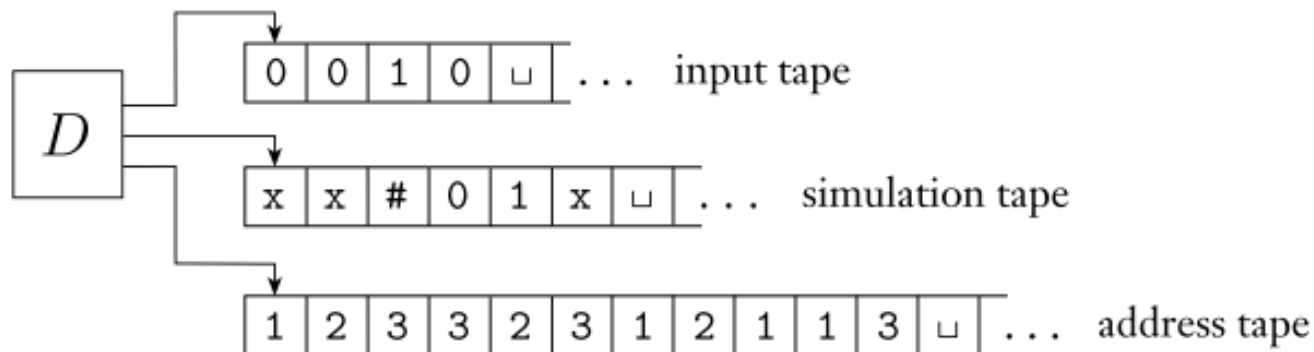
- every nondeterministic Turing machine has an equivalent deterministic Turing machine
  - proof idea:
    - simulate nondeterministic TM N with deterministic TM D
      - D will try all possible branches of N's computation
      - if D finds the accept state on a branch → accept
      - otherwise, D will not terminate

# Variants of Turing Machines

- every nondeterministic Turing machine has an equivalent deterministic Turing machine (cont.)
  - proof idea:
    - view  $N$ 's computation on  $w$  as a tree
      - each branch represents one of the branches of nondeterminism
      - each node is a configuration of  $N$
      - root is the start configuration
      - $D$  searches this tree for accepting configuration
        - depth-first search not good since a branch may be infinite (accept may be on another branch)
        - use breadth-first search instead

# Variants of Turing Machines

- every nondeterministic Turing machine has an equivalent deterministic Turing machine (cont.)
- proof:
  - D has three tapes (equivalent to having a single tape)
    - tape 1 has the input string and is never changed
    - tape 2 maintains a copy of N's tape on some branch of its nondeterministic computation
    - tape 3 keeps track of D's location in N



# Variants of Turing Machines

- every nondeterministic Turing machine has an equivalent deterministic Turing machine (cont.)
  - proof:
    - more on tape 3
      - every node in the tree can have up to  $b$  children
      - $b$  is largest set of choices in  $N$ 's transition function
        - so  $\Gamma_b = \{1, 2, \dots, b\}$
        - e.g., address 231 means 2<sup>nd</sup> child of root, followed by 3<sup>rd</sup> child of next node, and 1<sup>st</sup> child of that node
    - empty string is address of the root



# Variants of Turing Machines

- every nondeterministic Turing machine has an equivalent deterministic Turing machine (cont.)
  - proof:
    - D's computation
      1. initially, tape 1 contains input  $w$ ; tapes 2 and 3 are empty
      2. copy tape 1 to tape 2 and initialize string on 3 to  $\epsilon$
      3. use tape 2 to simulate  $N$ 
        - consult next symbol on tape 3 to determine choice from  $N$ 's transition function
        - if no more symbols remain, or invalid choice, goto 4
        - also goto 4 if rejecting configuration encountered
        - if accepting configuration encountered  $\rightarrow$  accept
      4. replace string on tape 3 with next string; goto 2

# Variants of Turing Machines

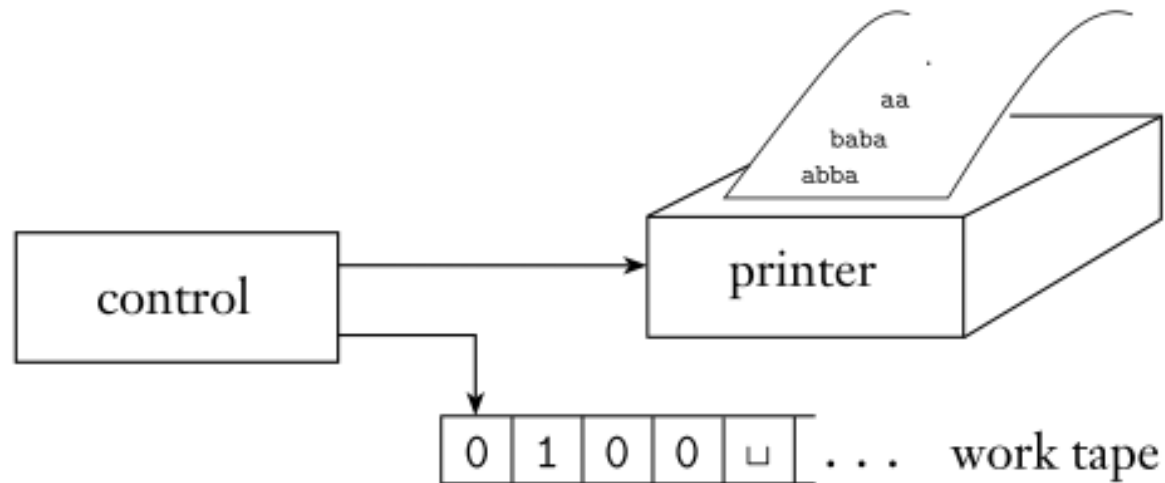
- a language is Turing-recognizable if and only if some nondeterministic Turing machine recognizes it
  - proof:
    - any deterministic TM is automatically a nondeterministic TM, which accounts for one direction of the proof
    - the other direction was proven previously

# Variants of Turing Machines

- we can alter the previous proof so that if  $N$  always halts on all branches of computation,  $D$  will halt
- an NTM is called a decider if all branches halt on all inputs
- a language is decidable if and only if some NTM decides it

# Variants of Turing Machines

- enumerators
  - Turing machine with attached printer
    - used as an output device to print strings



# Variants of Turing Machines

- enumerators
  - enumerator  $E$  starts with blank input on work tape
    - if enumerator doesn't halt, list of strings may be infinite
  - language enumerated by  $E$  is all strings printed out
    - $E$  may generate strings in any order, possibly with repetitions

# Variants of Turing Machines

- a language is Turing-recognizable if and only if some enumerator enumerates it
  - proof
    - first show  $E$  enumerates language  $A$ , recognized by TM  $M$
    - $M =$  "on input  $w$ :
      1. run  $E$ . every time  $E$  outputs a string, compare it with  $w$
      2. if  $w$  ever appears in the output of  $E \rightarrow$  accept."
- $M$  accepts strings that appear on  $E$ 's list

# Variants of Turing Machines

- a language is Turing-recognizable if and only if some enumerator enumerates it (cont.)
  - proof
    - other direction: if TM  $M$  recognizes language  $A$ , we can construct an enumerator
    - say that  $s_1, s_2, s_3, \dots, s_i$  is a list of all possible strings in  $\Sigma^*$
    - $E =$  "ignore the input
      - repeat the following for  $i = 1, 2, 3, \dots$ 
        - run  $M$  for  $i$  steps on each input  $s_1, s_2, s_3, \dots, s_i$
        - if any computations accept, print out corresponding  $s_j$ ."

# Variants of Turing Machines

- a language is Turing-recognizable if and only if some enumerator enumerates it (cont.)
  - if  $M$  accepts a particular  $s$ , it will eventually appear on the list generated by  $E$
  - it will appear on the list infinitely many times because  $M$  runs from the beginning to the end for each first step
  - this procedure gives the effect of running  $M$  in parallel on all possible input strings



# Variants of Turing Machines

- equivalence with other models
  - we have seen several TM variants equivalent to the original TM
    - many other models of general-purpose computation exist
    - some are quite different
    - all share the essential feature of TMs
      - unrestricted access to unlimited memory
        - unlike FAs and PDAs
    - all models with this feature are equivalent, as long as they satisfy reasonable requirements
      - e.g., perform only a finite amount of work in a single step

# Variants of Turing Machines

- equivalence with other models (cont.)
  - consider analogous situation with programming languages
    - languages look different (e.g., LISP and Pascal)
    - same algorithms can be programmed in both
    - therefore, the two languages describe exactly the same class of algorithms, as do all other reasonable programming languages
  - even though we can imagine many different computational models, the class of algorithms they describe is the same

# The Definition of Algorithm

- algorithm: collection of simple instructions for carrying out some task
  - procedures or recipes
  - play important role in mathematics
    - ancient descriptions of algorithms for finding prime numbers, greatest common divisors, etc.
    - many algorithms today
- algorithm not defined precisely until 20<sup>th</sup> century
  - previously, intuitive notion
  - needed for specific problems

# The Definition of Algorithm

- Hilbert's Problems
  - Int'l Congress of Mathematics, Paris, 1900
  - 23 mathematical problems as challenges for the century
  - 10<sup>th</sup> problem concerned algorithms

# The Definition of Algorithm

- preliminary: review of polynomials
  - polynomial: sum of terms
    - each term is a product of variables and constant (or coefficient)
    - ex:  $6 \cdot x \cdot x \cdot x \cdot y \cdot z \cdot z = 6x^3yz^2$ 
      - term with coefficient 6
    - ex:  $6x^3yz^2 + 3xy^2 - x^3 - 10$ 
      - polynomial with 4 terms
      - we'll consider only coefficients that are integers
  - root of a polynomial: variable values when = 0
    - polynomial above has a root at  $x = 5, y = 3, z = 0$
    - integral root because all integer values
      - some polynomials do not have an integral root

# The Definition of Algorithm

- Hilbert's tenth problem
  - devise an algorithm that tests whether a polynomial has an integral root
  - in his words: a process in which it can be determined in a finite number of operations
    - assumed an algorithm existed
  - we now know no such algorithm exists
    - i.e., it is unsolvable
    - proving an algorithm does not exist required a clear definition of algorithm

# The Definition of Algorithm

- Church-Turing thesis
  - 1936 paper by Church and Turing
  - Church: used notational system called  $\lambda$ -calculus to define algorithms
  - Turing: used Turing machines
  - two definitions were shown to be equivalent
  - connection between informal notation and precise definition is the Church-Turing thesis

*Intuitive notion  
of algorithms*

equals

*Turing machine  
algorithms*

# The Definition of Algorithm

- Hilbert's tenth problem
  - in 1970, Matijasevic showed no algorithm exists for testing whether a polynomial has integral roots
  - rephrase the problem:
    - $D = \{p \mid p \text{ is a polynomial with an integral root}\}$
    - in other words, is  $D$  decidable?



# The Definition of Algorithm

- Hilbert's tenth problem
  - first, consider a simpler problem
    - $D_1 = \{p \mid p \text{ is a polynomial over } x \text{ with an integral root}\}$
  - TM  $M_1$  that recognizes  $D_1$ :  
 $M_1 =$  "on input  $\langle p \rangle$ : where  $p$  is a polynomial over  $x$ 
    1. Evaluate  $p$  with  $x$  successively with values  $0, 1, -1, 2, -2, 3, -3, \dots$ . If at any point, the polynomial evaluates to  $0$ , accept."
    - if  $p$  has an integral root,  $M_1$  will find it and accept
    - if  $p$  does not, it will run forever
- for  $D$ ,  $M$  goes through all possible settings of variables to integral values

# The Definition of Algorithm

- Hilbert's tenth problem
  - both  $M$  and  $M_1$  are recognizers, but not deciders
    - we can convert  $M_1$  to a decider for  $D_1$  because we can restrict the roots to precalculated bounds
    - if a root is not found within these bounds, the machine rejects
    - Matijasevic's theorem shows that calculating such bounds is impossible

# The Definition of Algorithm

- terminology for describing Turing machines
  - a Turing machine serves as a precise model for the definition of algorithms
    - Turing machines can describe any algorithm

# The Definition of Algorithm

- standardize the way we describe Turing machine algorithms
  - what is the right level of detail? three possibilities
    - formal description
      - lists Turing machine's states, transition function, etc.
    - implementation description
      - use English prose to describe how the Turing machine moves the head and stores data on the tape
      - no details of states or transition functions
    - high-level description
      - use English prose to describe an algorithm
      - ignore implementation details
      - no mention of tape or head

# The Definition of Algorithm

- so far, we have looked at formal and implementation-level descriptions
  - helps in understanding Turing machines
- high-level descriptions are sufficient

# The Definition of Algorithm

- format and notation for Turing machines
  - input is always a string
    - can represent polynomials, graphs, grammars, automata, and combinations of these
  - Turing machine may decode these to be interpreted in any way desired
  - notation for encoding object  $O$  is  $\langle O \rangle$
  - for multiple objects  $O_1, O_2, \dots, O_k$ , encoding is  $\langle O_1, O_2, \dots, O_k \rangle$

# The Definition of Algorithm

- format and notation for Turing machines (cont.)
  - describe Turing machine algorithms with an indented segment of text within quotes
    - break the algorithm into stages
      - involving many individual steps
    - indicate block structure of the algorithm with further indentation
  - first line describes the input to the machine
    - if  $w$ , just a string
    - if  $\langle A \rangle$ , machine must test whether the input properly encodes an object of the desired form
      - rejects if not

# The Definition of Algorithm

- example: let  $A$  be the language of all strings representing undirected graphs that are connected
  - a graph is connected if every node can be reached from every other node by traveling along the edges of the graph
  - $A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$
  - high-level description of TM  $M$  that decides  $A$

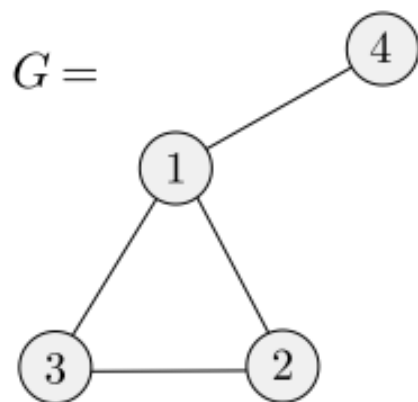
$M =$  "On input  $\langle G \rangle$ , the encoding of a graph  $G$ :

1. Select the first node of  $G$  and mark it.
2. Repeat the following stage until no new nodes are marked:
  3. For each node in  $G$ , mark it if it is attached by an edge to a node that has already been marked.
4. Scan all the nodes of  $G$  to determine whether they all are marked. If they are, accept; otherwise, reject."



# The Definition of Algorithm

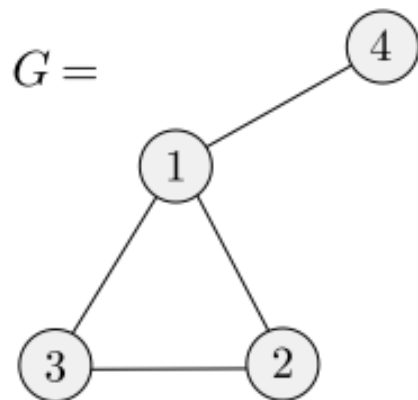
- example: let  $A$  be the language of all strings representing undirected graphs that are connected (cont.)
  - implementation-level details
    - usually, we don't give this level of detail
    - first, how does  $\langle G \rangle$  encode the graph as a string?
      - a list of nodes, followed by a list of edges
      - each node is a decimal number
      - each edge is a pair of decimal numbers representing the endpoints of an edge



$\langle G \rangle =$   
 $(1, 2, 3, 4) ((1, 2), (2, 3), (3, 1), (1, 4))$

# The Definition of Algorithm

- example: let  $A$  be the language of all strings representing undirected graphs that are connected (cont.)
  - when  $M$  receives  $\langle G \rangle$ , it checks for proper encoding
    - $M$  scans tape to be sure there are two lists in the proper form
      - first list: distinct decimal numbers
        - contains no repetitions
        - use previous procedure for element distinctiveness
      - second list: pairs of decimal numbers
        - every node should also appear in the node list



$\langle G \rangle =$   
 $(1, 2, 3, 4) ((1, 2), (2, 3), (3, 1), (1, 4))$

# The Definition of Algorithm

- example: let  $A$  be the language of all strings representing undirected graphs that are connected (cont.)
  - after input check,  $M$  moves on to stage 1
  - stage 1:  $M$  marks first node with a dot on leftmost digit
  - stage 2: repeat the following until no new nodes are marked
    - stage 3:  $M$  scans the list of nodes to find an undotted node  $n_1$  and flags it by marking it differently (underlining)
      - $M$  then scans the list again to find a dotted node  $n_2$  and underlines it, too
      - $M$  scans the list of edges
      - for each edge,  $M$  tests whether the two underlined nodes  $n_1$  and  $n_2$  are the ones appearing on the edge
        - if so,  $M$  dots  $n_1$ , removes the underlines, and starts stage 2 again
        - if they aren't,  $M$  checks the next edge on the list
        - if there are no more edges,  $\{n_1, n_2\}$  is not an edge of  $G$
        - $M$  moves the underline on  $n_2$  to the next dotted node and calls it  $n_2$
        - repeats check
        - if no more dotted nodes,  $n_1$  is not attached to any dotted nodes
        - $M$  sets the underline so that  $n_1$  is the next undotted node and  $n_2$  is the first dotted node and repeats
        - if there are no more dotted nodes,  $M$  has not been able to find any new nodes to dot, so it goes to stage 4
  - stage 4: scan the list of nodes to determine whether all are dotted
    - if so, enter accept state
    - otherwise, enter reject state