

## 10 Reducibility

### 10.1 What is reducibility?

*Reading: Sipser 5 (pp. 187-188)*

We say that problem  $A$  reduces (or is reducible) to problem  $B$ , if we can use a solution to  $B$  to solve  $A$  (i.e., if  $B$  is decidable/solvable, so is  $A$ ).

We may use reducibility to prove undecidability as follows: Assume we wish to prove problem  $B$  to be undecidable and we know a problem  $A$  that has already been proved undecidable. We use contradiction. Assume  $B$  is decidable. Then there exists a TM  $M_B$  that decides  $B$ . If we can use  $M_B$  as a sub-routine to construct a TM  $M_A$  that decides  $A$ , we have a contradiction. The construction of TM  $M_A$  using TM  $M_B$  establishes that  $A$  is reducible to  $B$ .

### 10.2 Another proof that $A_{TM}$ is not decidable

Recall  $A_{TM} = \{ \langle M, w \rangle \mid w \in L(M) \}$ . Thus  $\overline{A_{TM}} = \{ \langle M, w \rangle \mid w \notin L(M) \}$ . Recall  $A_D = \{ w_i \mid w_i \notin L(M_i) \}$ .

Proof: Assume that  $A_{TM}$  is decidable. Then  $\overline{A_{TM}}$  must be decidable. Let  $\overline{M}$  be the TM that decides  $\overline{A_{TM}}$ . We will construct a TM  $M_D$  that would decide  $A_D$ , an undecidable language.  $M_D$  works as follows: For input  $w_i$  (the  $i$ th binary string in the lexicographic sequence of all binary strings), it first makes a string  $w_i 111 w_i$  and then feed it to  $\overline{M}$ . We notice that  $w_i 111 w_i \in L(\overline{M})$  iff  $w_i 111 w_i \in \overline{A_{TM}}$  iff  $w_i 111 w_i \notin A_{TM}$  iff  $w_i \notin L(M_i)$  (recall that  $M_i$  is the TM with code  $w_i$ ) iff  $w_i \in L(M_D)$ . So  $M_D$  accepts  $w_i$  iff  $\overline{M}$  accepts  $w_i 111 w_i$ .

We just proved that  $A_D$  is reducible to  $\overline{A_{TM}}$ .

### 10.3 The halting problem

*Reading: Sipser 5.1 (pp. 188-189)*

Let  $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on string } w \}$ .

$HALT_{TM}$  is Turing-recognizable since it can be recognized by TM  $U$ .

$HALT_{TM}$  is not Turing-decidable.

Proof: We will reduce  $A_{TM}$  to  $HALT_{TM}$ . Assume TM  $R$  decides  $HALT_{TM}$ . We construct TM  $S$  that decides  $A_{TM}$  as follows: On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string,  $S$  first run TM  $R$  on  $\langle M, w \rangle$ , if  $R$  rejects, rejects. If  $R$  accepts, simulate  $M$  on  $w$  until it halts. If  $M$  accepts, accept; if  $M$  rejects, reject.

### 10.4 Undecidable problems about Turing machines

*Reading: Sipser 5.1 (pp. 189-192)*

- The following problems about Turing machines are not decidable:

- Whether  $L(M) = \emptyset$  for any TM  $M$ . (See proofs below.)
- Whether  $L(M_1) = L(M_2)$  for any two TMs  $M_1$  and  $M_2$ .
- Whether  $L(M)$  is finite for any TM  $M$
- Whether  $\epsilon \in L(M)$  for any TM  $M$ .
- Whether  $L(M) = \Sigma^*$  for any TM  $M$ .

- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$  is undecidable.

Proof: Reduce  $A_{TM}$  to  $E_{TM}$ . Assume that  $E_{TM}$  is decidable. Let  $R$  be the TM that decides  $E_{TM}$ . We use  $R$  to construct TM  $S$  that decides  $A_{TM}$  as follows: On input  $\langle M, w \rangle$ ,

- Construct TM  $M_1$  which on input  $x$ , rejects if  $x \neq w$  and simulates  $M$  on  $w$  if  $x = w$ .
- Run  $R$  on  $\langle M_1 \rangle$ .
- If  $R$  accepts, reject and if  $R$  rejects, accept.

- $NE_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \neq \emptyset \}$  is Turing-recognizable but not decidable.

Proof: To prove that  $NE_{TM}$  is Turing-recognizable, we design a TM  $M_{NE}$  to recognize  $NE_{TM}$ . On input  $\langle M \rangle$ ,

- $M_{NE}$  systematically generates strings  $w$ :  $\epsilon, 0, 1, 00, 01, \dots$  and use the universal TM  $U$  to test whether  $M$  accepts  $w$ . (What if  $M$  never halts on  $w$ ? Run  $M$  on  $w_1, \dots, w_i$  for  $i$  steps for  $i = 1, \dots$ )
- If  $M$  accepts some  $w$ , then  $M_{NE}$  accepts its own input  $M$ .

We next prove that  $NE_{TM}$  is not decidable. Assume that there is a TM  $M_{NE}$  that decides  $NE_{TM}$ , i.e., TM  $M_{NE}$  determines whether  $L(M) \neq \emptyset$  for any TM  $M$ . We will use  $M_{NE}$  to construct a TM  $M_u$  that would decide the undecidable  $A_{TM}$ . On input  $\langle M, w \rangle$ ,

- $M_u$  constructs a new TM  $M'$ , which rejects if its input is not  $w$  and mimics  $M$  if its input is  $w$ .
  - $M'$  is then fed to  $M_{NE}$ .
  - $M_{NE}$  accepts its input  $M'$  iff  $L(M') \neq \emptyset$  iff  $M$  accepts  $w$ .
- $E_{TM}$  is not Turing-recognizable.
  - Rice's Theorem: Every nontrivial property of the Turing-recognizable languages is undecidable.

## 10.5 Other undecidable problems

Reading: Sipser 5.2 (pp. 199-205)

- Post's correspondence problem is undecidable.

We formulate the Post's Correspondence Problem as a puzzle.

Post's Correspondence Problem (PCP)

INSTANCE:  $P = \{ \frac{t_1}{b_1}, \frac{t_2}{b_2}, \dots, \frac{t_k}{b_k} \}$ , where  $t_1, t_2, \dots, t_k$  and  $b_1, b_2, \dots, b_k$  are strings over alphabet  $\Sigma$ . ( $P$  can be regarded as a collection of dominos, each containing two strings, with one stacked on top of the other.)

QUESTION: Does  $P$  contain a match, i.e.,  $i_1, i_2, \dots, i_l \in \{1, 2, \dots, k\}$  with  $l \geq 1$  such that  $t_{i_1} t_{i_2} \dots t_{i_l} = b_{i_1} b_{i_2} \dots b_{i_l}$ ?

Equivalently, defined as a language, we have  $L_{PCP} = \{ \langle P \rangle \mid P \text{ is an instance of PCP with a match} \}$ .

For example, for  $P_1 = \{ \frac{b}{ca}, \frac{a}{ab}, \frac{ca}{a}, \frac{abc}{c} \}$ , sequence 2, 1, 3, 2, 4 indicates a match. For  $P_2 = \{ \frac{abc}{ab}, \frac{ca}{a}, \frac{acc}{ba} \}$ , there is no match.

- Any nontrivial property that involves what a program does is undecidable. For example, whether a program prints a certain message, whether it terminates, or whether it calls a certain function.
- It is undecidable whether a CFG is ambiguous.
- Let  $G_1$  and  $G_2$  be CFG's and let  $R$  be a regular expression. It is undecidable whether
  - $L(G_1) \cap L(G_2) = \emptyset$ .
  - $L(G_1) = L(G_2)$ .
  - $L(G_1) = L(R)$ .
  - $L(G_1) = \Sigma^*$ .
  - $L(G_1) \subseteq L(G_2)$ .
  - $L(R) \subseteq L(G_1)$ .